

# Young's and shear moduli and Poisson's ratio for elastic media of high and middle symmetry

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## Abstract

Using bases of fourth rank tensorial bases of  $[[V^2]^2]$  symmetry elaborated by Walpole we obtained expressions for inverse of Young's modulus  $E(\mathbf{n})$ , inverse of shear modulus  $G(\mathbf{m}, \mathbf{n})$  and Poisson's ratio  $\nu(\mathbf{m}, \mathbf{n})$ , which depend on components of the stiffness tensor  $\mathbf{S}$ , on direction cosines of vectors  $\mathbf{n}$  of uniaxial load and the vector  $\mathbf{m}$  of lateral strain with crystalline symmetry axes. Crystalline media of high and medium symmetries are considered. Such representation yields decomposition of the above elastic characteristics to isotropic and anisotropic parts. Expressions for Poisson's coefficient are well suited for studying the property of auxeticity.

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## I. INTRODUCTION

Recent interest in single crystal materials has made the understanding their elastic characteristics, i.e. the compliances  $C_{ij}$  and stiffness coefficients  $S_{ij}$  [1], the bulk and Young's moduli  $E$ , Poisson's ratio  $\nu$  and the shear modulus  $G$ , increasingly important [2]-[5]. The anisotropy of Poisson's ratio was studied in several papers [6]-[15]. In particular there is fundamental and practical interest in materials with negative Poisson's ratio, called auxetics [16]. Love [17] presents a single example of cubic *single crystal* pyrite as having a Poisson's ratio of -0.14. Lakes described the synthesis of an actual auxetic material and proposed a simple mechanism underlying the negative Poisson's ratio [18]. Alderson and Evans fabricated microporous polyethylene having a negative Poisson's ratio [19]. In spite of general belief it was shown that many crystalline solids, among them metals, have a negative Poisson's ratio [7]-[12]. Data were compiled from the literature by Baughman et al. and were analyzed to show negative Poisson's ratio to occur for stress in an oblique direction upon single crystals of cubic metals [20].

In our previous paper [21], we considered Poisson's ratio of all cubic materials stretched in [001] direction and measured for [100] lateral direction. We concluded that auxeticity is a rather common phenomenon.

Studying auxeticity of cubic elastic media we noticed that the size of the auxetic part of the stability region depends on the direction  $\mathbf{n}$  of stretch and the direction  $\mathbf{m}$  of lateral strain. To verify this observation we derived general expressions for  $E(\mathbf{n})$ ,  $\nu(\mathbf{m}, \mathbf{n})$ , and  $G(\mathbf{m}, \mathbf{n})$  for all stable cubic materials.

Our derivation gives expressions which do not depend on the choice of Cartesian coordinate system. To obtain such formulas, we used fourth-rank tensorial bases introduced by Walpole [22]. This approach is simpler than the method developed by Turley and Sines [1, 2], and applied by Gunton and Saunders [3, 4, 6], Li [5] and Jain and Verma [8]. To obtain Poisson's ratio and Young's and shear moduli for an arbitrary direction of applied stress, these authors used the method of rotations by Eulerian angles.

## II. POISSON'S RATIO, YOUNG'S MODULUS AND SHEAR MODULUS

Elastic properties of an anisotropic body are characterized by the elastic constants  $C_{ijkl}$  or by the elastic compliance coefficients  $S_{ijkl}$  relative to an orthogonal coordinate system. Consider any two specified orthogonal unit vectors  $\mathbf{n}$  and  $\mathbf{m}$  and three related characteristics of elastic properties of the considered body [23, 24], namely the Poisson ratio  $\nu(\mathbf{m}, \mathbf{n})$

$$\nu(\mathbf{m}, \mathbf{n}) = \frac{\varepsilon_{\mathbf{m}}}{\varepsilon_{\mathbf{n}}} = -\frac{m_i m_j S_{ijkl} n_k n_l}{n_\alpha n_\beta S_{\alpha\beta\gamma\delta} n_\gamma n_\delta}, \quad (1)$$

Young's modulus  $E(\mathbf{n})$

$$\frac{1}{E(\mathbf{n})} = n_i n_j S_{ijkl} n_k n_l, \quad (2)$$

and the shear modulus  $G(\mathbf{m}, \mathbf{n})$

$$\frac{1}{4G(\mathbf{m}, \mathbf{n})} = m_i n_j S_{ijkl} m_k n_l. \quad (3)$$

Repetition of a suffix in a product of tensors or in a single tensor implies the usual summation with the respect to that suffix over the values 1,2,3.

Introduce three  $\mathbf{n} \otimes \mathbf{n}$  and  $\mathbf{m} \otimes \mathbf{m}$  and  $\mathbf{m} \otimes \mathbf{n}$  with elements  $n_i n_j$ ,  $m_i m_j$  and  $m_i n_j$  ( $i, j = 1, 2, 3$ ). Using these dyads the definitions (1)-(3) can be written in a brief form (cf. Rychlewski [24]).

$$E(\mathbf{n})^{-1} = (\mathbf{n} \otimes \mathbf{n}) \cdot \mathbf{S} \cdot (\mathbf{n} \otimes \mathbf{n}), \quad (4)$$

$$[4G(\mathbf{m}, \mathbf{n})]^{-1} = [4G(\mathbf{n}, \mathbf{m})]^{-1} = (\mathbf{m} \otimes \mathbf{n}) \cdot \mathbf{S} \cdot (\mathbf{m} \otimes \mathbf{n}), \quad (5)$$

$$\frac{\nu(\mathbf{m}, \mathbf{n})}{E(\mathbf{n})} = \frac{\nu(\mathbf{n}, \mathbf{m})}{E(\mathbf{m})} = -(\mathbf{m} \otimes \mathbf{m}) \cdot \mathbf{S} \cdot (\mathbf{n} \otimes \mathbf{n}). \quad (6)$$

In an arbitrary chosen coordinate system, both  $E^{-1}(\mathbf{n})$  and  $[4G(\mathbf{m}, \mathbf{n})]^{-1}$  are related to quadratic forms of a positive definite matrix, hence  $E^{-1}(\mathbf{n}) > 0$ ,  $[4G(\mathbf{m}, \mathbf{n})]^{-1} > 0$ .

Tensors  $\mathbf{C}$  and  $\mathbf{S}$  with elements  $C_{ijkl}$  and  $S_{ij,kl}$ , respectively, obey the relation

$$\mathbf{C} \cdot \mathbf{S} = \mathbf{S} \cdot \mathbf{C} = \mathbf{I}_4, \quad (7)$$

or for components

$$C_{ijrs} S_{rskl} = S_{ijrs} C_{rskl} = \frac{1}{2} (\delta_{ik} \delta_{jl} - \delta_{il} \delta_{jk}). \quad (8)$$

This means that  $\mathbf{S} = \mathbf{C}^{-1}$  and.

### III. POISSON'S RATIO, YOUNG'S MODULUS AND SHEAR MODULUS FOR ISOTROPIC ELASTIC MEDIA

Introduce two fourth rank tensors –  $\mathbf{J}$  with components

$$J_{ijkl} = \frac{1}{3} (\mathbf{I}_2)_{ij} (\mathbf{I}_2)_{kl}, \quad (9)$$

and  $\mathbf{K} = (\mathbf{I}_4 - \mathbf{J})$  (cf. Walpole [22]). The second rank tensor  $\mathbf{I}_2$  has components  $(I_2)_{ij} = \delta_{ij}$ .

The introduced tensors obey several important relations

$$\begin{aligned} \mathbf{J}^2 &= \mathbf{J}, \quad \mathbf{K}^2 = \mathbf{K}, \\ \mathbf{J} + \mathbf{K} &= \mathbf{I}_4, \quad \mathbf{J} \cdot \mathbf{K} = \mathbf{K} \cdot \mathbf{J} = 0. \end{aligned} \quad (10)$$

The tensors  $\mathbf{J}$  and  $\mathbf{K}$  have complete Voigt symmetry. Using relations (7)-(10), one obtains

$$\begin{aligned} \mathbf{C} &= c_J \mathbf{J} + c_K \mathbf{K}, \\ \mathbf{S} &= s_J \mathbf{J} + s_K \mathbf{K}, \end{aligned} \quad (11)$$

where [22]

$$c_J = \frac{C_{ijjj}}{3} = C_{11} + 2C_{12}, \quad c_K = \frac{1}{5} \left( C_{ijij} - \frac{1}{3} C_{ijjj} \right) = (C_{11} - C_{12}), \quad s_J = c_J^{-1}, \quad s_K = c_K^{-1}. \quad (12)$$

This means that  $\mathbf{S} = c_J^{-1} \mathbf{J} + c_K^{-1} \mathbf{K}$ . An isotropic medium is mechanically stable if  $c_J > 0$ ,  $c_K > 0$  ( $s_J > 0$ ,  $s_K > 0$ ).

The tensor  $\mathbf{S}$  can be rewritten in a useful form

$$\mathbf{S} = (s_J - s_K) \mathbf{J} + s_K \mathbf{I}_4. \quad (13)$$

From the definition (4) and the form (13) of the tensor  $\mathbf{S}$ , one obtains

$$E^{-1}(\mathbf{n}) \equiv E^{-1} = (s_J - s_K) / 3 + s_K = s_J + 2s_K / 3 > 0. \quad (14)$$

Hence, using the tensorial basis we obtained the familiar expression for  $E^{-1}(\mathbf{n})$  [23], [25].

Calculating products  $(\mathbf{m} \otimes \mathbf{m}) \cdot \mathbf{S} \cdot (\mathbf{n} \otimes \mathbf{n})$  and  $(\mathbf{m} \otimes \mathbf{n}) \cdot \mathbf{S} \cdot (\mathbf{m} \otimes \mathbf{n})$ , we obtain

$$\begin{aligned} \frac{\nu(\mathbf{m}, \mathbf{n})}{E} &= \frac{(s_J - s_K)}{3} = -\frac{S_{12}}{3} = \frac{C_{12}}{c_J c_K}, \\ \frac{1}{4G(\mathbf{m}, \mathbf{n})} &= \frac{s_K}{2} = \frac{1}{2c_K} > 0. \end{aligned}$$

These formulas can be found in books [23], [25].

In agreement with Ting and Barnett [26], an isotropic medium is completely auxetic (i.e.  $\nu(\mathbf{m}, \mathbf{n}) < 0$  for all pairs  $(\mathbf{m}, \mathbf{n})$ ), if  $S_{12} > 0$  (or  $C_{12} < 0$ ) and nonauxetic (i.e.  $\nu(\mathbf{m}, \mathbf{n}) > 0$  for all pairs  $(\mathbf{m}, \mathbf{n})$ ), if  $S_{12} < 0$  (or  $C_{12} > 0$ ).

#### IV. POISSON'S RATIO, YOUNG'S MODULUS AND SHEAR MODULUS FOR CUBIC ELASTIC MEDIA

As the three crystallographic directions of the cubic system are mutually perpendicular, the unit vectors  $\mathbf{a}$ ,  $\mathbf{b}$  and  $\mathbf{c}$  satisfy the conditions

$$\mathbf{a}\mathbf{a} = \mathbf{b}\mathbf{b} = \mathbf{c}\mathbf{c} = 1, \mathbf{a}\mathbf{b} = \mathbf{a}\mathbf{c} = \mathbf{b}\mathbf{c} = 0, \mathbf{a} \otimes \mathbf{a} + \mathbf{b} \otimes \mathbf{b} + \mathbf{c} \otimes \mathbf{c} = \mathbf{I}_2. \quad (15)$$

Introduce the symmetric fourth rank tensor  $\Sigma$  with components

$$\Sigma_{ijkl} = a_i a_j a_k a_l + b_i b_j b_k b_l + c_i c_j c_k c_l. \quad (16)$$

With the help of  $\Sigma$ , Walpole [22] constructed two different fourth rank tensors  $\mathbf{L}$  and  $\mathbf{M}$

$$\mathbf{L} = \mathbf{I}_4 - \Sigma, \mathbf{M} = \Sigma - \mathbf{J}. \quad (17)$$

These tensors obey the following relations

$$\begin{aligned} \mathbf{L} + \mathbf{M} &= \mathbf{K}, \mathbf{J} + \mathbf{L} + \mathbf{M} = \mathbf{I}_4, \\ \mathbf{L}^2 &= \mathbf{L}, \mathbf{M}^2 = \mathbf{M}, \\ \mathbf{JL} = \mathbf{LJ} &= 0, \mathbf{JM} = \mathbf{MJ} = 0, \mathbf{LM} = \mathbf{ML} = 0. \end{aligned}$$

For all cubic symmetry classes (23,  $m\bar{3}$ , 432,  $\bar{4}3m$  and  $m\bar{3}m$ ) the tensors  $\mathbf{C}$ ,  $\mathbf{S}$  can be expanded in the basis of tensors  $\mathbf{J}, \mathbf{L}, \mathbf{M}$

$$\begin{aligned} \mathbf{C} &= c_J \mathbf{J} + c_L \mathbf{L} + c_M \mathbf{M}, \\ \mathbf{S} &= s_J \mathbf{J} + s_L \mathbf{L} + s_M \mathbf{M}, \end{aligned} \quad (18)$$

where [22]

$$\begin{aligned} c_J &= C_{11} + 2C_{12}, \quad c_L = \frac{1}{3} L_{ijkl} C_{ijkl} = 2C_{44}, \quad c_M = \frac{1}{2} M_{ijkl} C_{ijkl} = C_{11} - C_{12}, \\ s_J &= c_J^{-1}, \quad s_L = c_L^{-1}, \quad s_M = c_M^{-1}. \end{aligned}$$

$c_J$ ,  $c_L$  and  $c_M$  are the eigenvalues of the tensor  $\mathbf{C}$ . Similarly,  $s_J$ ,  $s_L$  and  $s_M$  are eigenvalues of  $\mathbf{S}$ . The mechanical stability is guaranteed when all these eigenvalues are positive.

The tensor  $\mathbf{S}$  (18) can be written in a useful form

$$\mathbf{S} = (s_J - s_M) \mathbf{J} + s_L \mathbf{I}_4 + (s_M - s_L) \Sigma. \quad (19)$$

The coefficients  $c_J$ ,  $c_L$ , and  $c_M$  can be written in terms of  $S_{11}$ ,  $S_{12}$ , and  $S_{44}$ , namely

$$s_J = S_{11} + 2S_{12}, \quad s_L = S_{44}/2, \quad s_M = S_{11} - S_{12}. \quad (20)$$

Using these relations and second of Eqs. (19) we express the matrix elements  $S_{ij}$  by  $C_{ij}$  ( $i, j = 1, 2, 4$ )

$$S_{11} = \frac{C_{11} + C_{12}}{(C_{11} + 2C_{12})(C_{11} - C_{12})}, \quad S_{12} = -\frac{C_{12}}{(C_{11} + 2C_{12})(C_{11} - C_{12})}, \quad S_{44} = \frac{1}{C_{44}}. \quad (21)$$

These expressions are in agreement with familiar results [25].

We calculate Young's modulus in direction  $\mathbf{n}$  with the help of formulae (6) and (19). As a result, we obtain the familiar formulae [25]

$$E^{-1}(\mathbf{n}) = [(s_J - s_M)/3 + s_L] + (s_M - s_L) T(\mathbf{n}) = S_{11} - 2(S_{11} - S_{12} - S_{44}/2) \times (n_a^2 n_b^2 + n_b^2 n_c^2 + n_c^2 n_a^2),$$

where  $T(\mathbf{n}) = \sum_{i=a}^c n_i^4$ .

Similarly, for  $\nu(\mathbf{m}, \mathbf{n})$  and  $G(\mathbf{m}, \mathbf{n})$ , we get

$$-\frac{\nu(\mathbf{m}, \mathbf{n})}{E(\mathbf{n})} = (s_J - s_M)/3 + (s_M - s_L) P(\mathbf{m}, \mathbf{n}), \quad (22)$$

$$[4G(\mathbf{m}, \mathbf{n})]^{-1} = s_L/2 + (s_M - s_L) P(\mathbf{m}, \mathbf{n}).$$

The function  $P(\mathbf{m}, \mathbf{n})$  was introduced by Ting and Barnett [26]

$$P(\mathbf{m}, \mathbf{n}) = P(\mathbf{n}, \mathbf{m}) = \sum_{i=a}^c (m_i n_i)^2, \quad (23)$$

where, for example,  $m_a = (\mathbf{m}\mathbf{a})$ . Note that the first term of expression defining  $[-\nu(\mathbf{m}, \mathbf{n})/E(\mathbf{n})]$  is isotropic, whereas the second one is anisotropic. The same structure has the shear modulus.

The ratios  $\nu/E$  and  $[4G]^{-1}$  are not independent

$$-\frac{\nu(\mathbf{m}, \mathbf{n})}{E(\mathbf{n})} - \frac{1}{4G(\mathbf{m}, \mathbf{n})} = \frac{1}{3}(s_J - s_M) - \frac{1}{2}s_L. \quad (24)$$

If  $C_{44} = (C_{11} - C_{12})/2$ , one deals with an isotropic medium. In this case,  $s_L = s_M \equiv s_K$ , therefore the last terms of  $E^{-1}(\mathbf{n})$ ,  $\nu(\mathbf{m}, \mathbf{n})/E(\mathbf{n})$  and  $[4G(\mathbf{m}, \mathbf{n})]^{-1}$  vanish, and one gets the results obtained in Sect. III.

Using Eq. (20) one can write  $\nu(\mathbf{m}, \mathbf{n})$  (22) in the form obtained by Ting and Barnett [26]

$$\nu(\mathbf{m}, \mathbf{n}) = [1 - 2P(\mathbf{m}, \mathbf{n})] S_{12} + QP(\mathbf{m}, \mathbf{n})/2, \quad (25)$$

where  $Q = [2(S_{11} + S_{12}) - S_{44}]$ . The functions  $T(\mathbf{n})$ ,  $P(\mathbf{m}, \mathbf{n})$  obey the inequalities

$$1/3 \leq T(\mathbf{n}) \leq 1,$$

$$0 \leq P(\mathbf{m}, \mathbf{n}) \leq 1/2.$$

The function  $p(\mathbf{n})$  achieves the minimal value for  $\mathbf{n} = \langle 111 \rangle$  and maximal value for  $\mathbf{n} = \langle 001 \rangle$ . The function  $P(\mathbf{n}, \mathbf{m})$  attains the minimal value 0 for  $\mathbf{n}$  (or  $\mathbf{m}$ ) equal to  $\langle 001 \rangle$  and for arbitrary unit vector  $\mathbf{m}$ , perpendicular to this  $\mathbf{n}$  (or for arbitrary unit vector  $\mathbf{n}$  perpendicular to  $\mathbf{m} = \langle 001 \rangle$ ). The maximal value 1/2 of  $F(\mathbf{n}, \mathbf{m})$  is reached for  $\mathbf{n}$  equal to  $\langle -110 \rangle$  or  $\langle 110 \rangle$  and  $\mathbf{m} = \langle 110 \rangle$  or  $\mathbf{m} = \langle -110 \rangle$ .

The ratio  $[-\nu(\mathbf{m}, \mathbf{n})/E(\mathbf{n})]$  obeys the inequality

$$S_{12} \leq -\nu(\mathbf{m}, \mathbf{n})/E(\mathbf{n}) \leq Q/4. \quad (26)$$

This means that in agreement with Ting and Barnett [26], a cubic medium is completely auxetic if  $S_{12} > 0$  and  $Q > 0$ , and nonauxetic if  $S_{12} < 0$ ,  $Q < 0$ . If none of these pairs of inequalities hold,  $\nu(\mathbf{m}, \mathbf{n})$  is negative for some pairs  $(\mathbf{m}, \mathbf{n})$  and positive for other.

## V. CHARACTERISTICS OF ELASTICITY FOR TRANSVERSELY ISOTROPIC MEDIA

Denote the direction of the symmetry axis by  $\mathbf{c}$  and a component of it by  $c_i$  ( $i = 1, 2, 3$ ). Using the vector  $\mathbf{c}$ , one can construct two basic *second* rank tensors, namely a dyad  $\mathbf{p} = \mathbf{c} \otimes \mathbf{c}$  with components  $(\mathbf{p})_{ij} \equiv p_{ij} = c_i c_j$  and  $\mathbf{q} = (\mathbf{I}_2 - \mathbf{p})$ . Tensors  $\mathbf{p}$  and  $\mathbf{q}$  are idempotent, i.e.  $\mathbf{p}^2 = \mathbf{p}$ ,  $\mathbf{q}^2 = \mathbf{q}$ . Their products vanish,  $\mathbf{p} \cdot \mathbf{q} = \mathbf{q} \cdot \mathbf{p} = 0$ .

In the case of transversely isotropic media, the tensorial basis consists of five tensors  $\mathbf{E}_1$ ,  $\mathbf{E}_2$ ,  $\mathbf{E}_s$ ,  $\mathbf{F}$ , and  $\mathbf{G}$  [22]

$$(\mathbf{E}_1)_{ijkl} \equiv E_{ijkl}^{(1)} = p_{ij} p_{kl}, \quad (\mathbf{E}_2)_{ijkl} \equiv E_{ijkl}^{(2)} = q_{ij} q_{kl} / 2,$$

$$\mathbf{G}_{ijkl} \equiv G_{ijkl} = (p_{ik} q_{jl} + p_{il} q_{jk} + p_{jk} q_{il} + p_{jl} q_{ik}) / 2,$$

$$(\mathbf{E}_s)_{ijkl} \equiv E_{ijkl}^{(s)} = [(\mathbf{E}_3)_{ijkl} + (\mathbf{E}_4)_{ijkl}] \equiv (p_{ij} q_{kl} + q_{ij} p_{kl}) / \sqrt{2}, \quad (27)$$

	$\mathbf{E}_1$	$\mathbf{E}_2$	$\mathbf{E}_3$	$\mathbf{E}_4$
$\mathbf{E}_1$	$\mathbf{E}_1$	0	$\mathbf{E}_3$	0
$\mathbf{E}_2$	0	$\mathbf{E}_2$	0	$\mathbf{E}_4$
$\mathbf{E}_3$	0	$\mathbf{E}_3$	0	$\mathbf{E}_1$
$\mathbf{E}_4$	$\mathbf{E}_4$	0	$\mathbf{E}_2$	0

TABLE I: Multiplication table for  $\mathbf{E}$ 's tensors

$$\mathbf{F}_{ijkl} \equiv F_{ijkl} = (q_{ik}q_{jl} + q_{jk}q_{il} - q_{ij}q_{kl})/2. \quad (28)$$

Tensors  $\mathbf{E}_i$  obey the multiplication table I.

Similarly as  $\mathbf{E}_1$  and  $\mathbf{E}_2$ , tensors  $\mathbf{F}$  and  $\mathbf{G}$  are idempotent, i.e.  $\mathbf{F}^2 = \mathbf{F}$ ,  $\mathbf{G}^2 = \mathbf{G}$  and

$$\mathbf{F} \cdot \mathbf{G} = \mathbf{G} \cdot \mathbf{F} = \mathbf{0}, \mathbf{F} \cdot \mathbf{E}_\alpha = \mathbf{E}_\alpha \cdot \mathbf{F} = \mathbf{0}, \mathbf{G} \cdot \mathbf{E}_\alpha = \mathbf{E}_\alpha \cdot \mathbf{G} = \mathbf{0} \ (\alpha = 1, \dots, 4). \quad (29)$$

For transversely isotropic media (symmetry classes  $\infty$ ,  $\infty/m$ ,  $\infty 2$ ,  $\infty m$  and  $\infty/mmm$  and  $\bar{6}$ ,  $6/m$ ,  $622$ ,  $6mm$ ,  $\bar{6}m2$  and  $6/mmm$ ), the tensor of elastic constants  $\mathbf{C}$  can be expanded in the introduced basis

$$\mathbf{C} = c_1 \mathbf{E}_1 + c_2 \mathbf{E}_2 + c_s \mathbf{E}_s + c_F \mathbf{F} + c_G \mathbf{G}, \quad (30)$$

where [22]

$$\begin{aligned} c_1 &= p_{ij}C_{ijkl}p_{kl} = C_{33}, \quad c_2 = \frac{1}{2}q_{ij}C_{ijkl}q_{kl} = (C_{11} + C_{12}), \\ c_s &= \frac{1}{\sqrt{2}}(p_{ij}C_{ijkl}q_{kl} + q_{ij}C_{ijkl}p_{kl}) = \sqrt{2}C_{13}, \\ c_F &= \frac{1}{2}F_{ijkl}C_{ijkl} = (C_{11} - C_{12}), \quad c_G = \frac{1}{2}G_{ijkl}C_{ijkl} = 2C_{44}. \end{aligned} \quad (31)$$

In the same way we find the coefficients of expansion of  $\mathbf{S}$

$$s_1 = S_{33}, \quad s_2 = (S_{11} + S_{12}), \quad s_s = \sqrt{2}S_{13}, \quad s_F = (S_{11} - S_{12}), \quad s_G = S_{44}/2. \quad (32)$$

Since  $\mathbf{S}$  is inverse of  $\mathbf{C}$  and  $\mathbf{I}_4 = (\mathbf{E}_1 + \mathbf{E}_2 + \mathbf{F} + \mathbf{G})$ , we find

$$\mathbf{S} = s_1 \mathbf{E}_1 + s_2 \mathbf{E}_2 + s_s \mathbf{E}_s + s_F \mathbf{F} + s_G \mathbf{G} \equiv \mathbf{s}_\perp, \quad (33)$$

where

$$s_1 = \frac{c_2}{s_E}, \quad s_2 = \frac{c_1}{s_E}, \quad s_s = -\frac{c_s}{s_E}, \quad s_F = c_F^{-1}, \quad s_G = c_G^{-1}, \quad (34)$$



where  $s_E = (c_1 c_2 - c_s^2)$ . These equations are in agreement with relation obtained by Boas and Mackenzie (cf. Nye [25]).

With the help of the expansion formulas [22]

$$\begin{aligned}\mathbf{J} &= \frac{1}{3}\mathbf{E}_1 + \frac{2}{3}\mathbf{E}_2 + \frac{\sqrt{2}}{3}\mathbf{E}_s, \\ \mathbf{K} &= \frac{2}{3}\mathbf{E}_1 + \frac{1}{3}\mathbf{E}_2 - \frac{\sqrt{2}}{3}\mathbf{E}_s + \mathbf{F} + \mathbf{G},\end{aligned}\tag{35}$$

and Eq. (11), one can check that when  $C_{44} = (C_{11} - C_{12})/2$ ,  $C_{33} = C_{11}$ ,  $C_{13} = C_{12}$ , transversely isotropic media are equivalent to isotropic media.

Having the explicit form of expansion of  $\mathbf{S}$  tensor with the help of definitions (4)-(5) for transversely isotropic media we obtain

$$\begin{aligned}E^{-1}(\mathbf{n}) &= s_1 (\mathbf{nc})^4 + \frac{1}{2} (s_2 + s_F) [1 - (\mathbf{nc})^2]^2 + (\sqrt{2}s_s + 2s_G) (\mathbf{nc})^2 [1 - (\mathbf{nc})^2], \\ -\frac{\nu(\mathbf{m}, \mathbf{n})}{E(\mathbf{n})} &= (s_1 + s_F - 2s_G) (\mathbf{mc})^2 (\mathbf{nc})^2 + \frac{1}{2} (s_2 - s_F) [1 - (\mathbf{mc})^2] [1 - (\mathbf{nc})^2] \\ &\quad + \frac{1}{\sqrt{2}} s_s \{ (\mathbf{mc})^2 [1 - (\mathbf{nc})^2] + (\mathbf{nc})^2 [1 - (\mathbf{mc})^2] \},\end{aligned}\tag{36}$$

$$\begin{aligned}\frac{1}{4G(\mathbf{mn})} &= \left( s_1 + \frac{1}{2} s_2 - \sqrt{2} s_s - s_G \right) (\mathbf{mc})^2 (\mathbf{nc})^2 + \frac{1}{2} s_F [1 - (\mathbf{mc})^2] [1 - (\mathbf{nc})^2] \\ &\quad + \frac{1}{2} s_G \{ (\mathbf{mc})^2 [1 - (\mathbf{nc})^2] + (\mathbf{nc})^2 [1 - (\mathbf{mc})^2] \}.\end{aligned}$$

## VI. ELASTIC CHARACTERISTICS OF TETRAGONAL ELASTIC MEDIA

In the case of tetragonal elastic media, one deals with two groups of classes. To a more symmetrical belong  $4mm$ ,  $\bar{4}2m$ ,  $422$  and  $4/mmm$ . The remaining less symmetric classes are  $4$ ,  $\bar{4}$  and  $4/m$ .

As the crystallographic directions of all classes of the tetragonal system are mutually perpendicular, the related three unit vectors  $\mathbf{a}$ ,  $\mathbf{b}$ ,  $\mathbf{c}$  also are mutually perpendicular, hence

$$\mathbf{a} \otimes \mathbf{a} + \mathbf{b} \otimes \mathbf{b} + \mathbf{c} \otimes \mathbf{c} = \mathbf{I}_2.\tag{37}$$

Vector  $\mathbf{c}$  defines principal axis of symmetry.

**A. Classes  $4mm$ ,  $\bar{4}2m$ ,  $422$  and  $4/mmm$**

If vectors  $\mathbf{m}$  and  $\mathbf{n}$  are perpendicular,

$$(\mathbf{ma})(\mathbf{na}) + (\mathbf{mb})(\mathbf{nb}) + (\mathbf{mc})(\mathbf{nc}) = 0. \quad (38)$$

Components of tensors  $\mathbf{E}_1$ ,  $\mathbf{E}_2$ ,  $\mathbf{E}_s$  and  $\mathbf{G}$  can be expressed by  $\mathbf{a}$ ,  $\mathbf{b}$  and  $\mathbf{c}$  [22]

$$\begin{aligned} E_{ijkl}^{(1)} &= c_i c_j c_k c_l, \quad E_{ijkl}^{(2)} = \frac{1}{2} (a_i a_j + b_i b_j) (a_k a_l + b_k b_l), \\ E_{ijkl}^{(3)} &= \frac{1}{\sqrt{2}} c_i c_j (a_k a_l + b_k b_l), \quad E_{ijkl}^{(4)} = \frac{1}{\sqrt{2}} (a_i a_j + b_i b_j) c_k c_l, \quad \mathbf{E}_s = (\mathbf{E}_3 + \mathbf{E}_4), \\ G_{ijkl} &= \frac{1}{2} [(c_i a_j + a_i c_j) (c_k a_l + a_k c_l) + (c_i b_j + b_i c_j) (c_k b_l + b_k c_l)]. \end{aligned}$$

Introduce two further tensors  $\mathbf{F}_1$  and  $\mathbf{F}_2$  with components

$$F_{ijkl}^{(1)} = \frac{1}{2} (a_i b_j + b_i a_j) (a_k b_l + b_k a_l), \quad F_{ijkl}^{(2)} = \frac{1}{2} (a_i a_j - b_i b_j) (a_k a_l - b_k b_l). \quad (39)$$

Using the relation of completeness (37), one may show that  $(\mathbf{F}_1 + \mathbf{F}_2) = \mathbf{F}$ , where  $\mathbf{F}$  is defined by Eq. (28).

The introduced tensors make up the decomposition of  $\mathbf{I}_4$

$$\mathbf{I}_4 = \mathbf{E}_1 + \mathbf{E}_2 + \mathbf{F}_1 + \mathbf{F}_2 + \mathbf{G}. \quad (40)$$

Tensors  $\mathbf{E}_\alpha$  ( $\alpha = 1, \dots, 4$ ) obey multiplication table I.  $\mathbf{F}_1$ ,  $\mathbf{F}_2$ , and  $\mathbf{G}$  are each idempotent, and  $\mathbf{F}_1 \mathbf{F}_2 = \mathbf{F}_2 \mathbf{F}_1 = \mathbf{0}$ ,  $\mathbf{F}_\beta \mathbf{G} = \mathbf{G} \mathbf{F}_\beta = \mathbf{0}$  ( $\beta = 1, 2$ ). Products of tensors  $\mathbf{F}_\beta$  and  $\mathbf{E}_\alpha$  vanish.

As previously, both tensors  $\mathbf{C}$  and  $\mathbf{S}$  can be represented in form of linear combinations [22]

$$\begin{aligned} \mathbf{C} &= c_E^{(1)} \mathbf{E}_{(1)} + c_E^{(2)} \mathbf{E}_2 + c_E^{(s)} \mathbf{E}_s + c_F^{(1)} \mathbf{F}_1 + c_F^{(2)} \mathbf{F}_2 + c_G \mathbf{G}, \\ \mathbf{S} &= s_E^{(1)} \mathbf{E}_1 + s_E^{(2)} \mathbf{E}_2 + s_E^{(s)} \mathbf{E}_s + s_F^{(1)} \mathbf{F}_1 + s_F^{(2)} \mathbf{F}_2 + s_G \mathbf{G}, \end{aligned} \quad (41)$$

where  $c_E^{(\alpha)}$  (previously we omitted the index E) and  $c_G$  are the same as in the case of transversely isotropic media, whereas  $s_E^{(\alpha)}$  ( $\alpha = 1, 2, s$ ) and  $s_G$  obey Eqs. (34). The coefficients  $c_F^{(1)}$ ,  $c_F^{(2)}$  and  $s_F^{(1)}$ ,  $s_F^{(2)}$  are equal

$$c_F^{(1)} = 2C_{66}, \quad c_F^{(2)} = (C_{11} - C_{12}), \quad s_F^{(1)} = S_{66}/2 = 1/c_F^{(1)}, \quad s_F^{(2)} = (S_{11} - S_{12}) = 1/c_F^{(2)}. \quad (42)$$

If  $S_{66}/2 = (C_{11} - C_{12})$ , then  $s_F^{(1)} = s_F^{(2)} = s_F$  and  $s_F^{(1)} \mathbf{F}_1 + s_F^{(2)} \mathbf{F}_2 = s_F \mathbf{F}$ , hence  $\mathbf{s}_{t1} = \mathbf{s}_\perp$ . Analogous relations hold for  $\mathbf{C}$ .

Since the first of equations (35) holds, and

$$\mathbf{L} = \mathbf{F}_1 + \mathbf{G}, \quad \mathbf{M} = \frac{2}{3}\mathbf{E}_1 + \frac{1}{3}\mathbf{E}_2 - \frac{\sqrt{2}}{3}\mathbf{E}_s + \mathbf{F}_2 \quad (43)$$

when  $S_{33} = S_{11}$ ,  $S_{13} = S_{12}$ , and  $S_{66} = S_{44}$  the compliance tensors of cubic and tetragonal higher symmetry media coincide.

The definitions of Poisson's ratio, Young's and shear moduli (1)-(3), and the relation (38) in the case of tetragonal media of higher symmetry (labeled by the index t1), lead to the expressions

$$\begin{aligned} -\frac{\nu_{t1}(\mathbf{m}, \mathbf{n})}{E_{t1}(\mathbf{n})} &= \left[ -\frac{\nu(\mathbf{m}, \mathbf{n})}{E(\mathbf{n})} \right]'_{\perp} + 2s_F^{(1)}(\mathbf{ma})(\mathbf{mb})(\mathbf{na})(\mathbf{nb}) \\ &\quad + \frac{1}{2}s_F^{(2)}[(\mathbf{ma})^2 - (\mathbf{mb})^2][(\mathbf{na})^2 - (\mathbf{nb})^2], \\ E_{t1}^{-1}(\mathbf{n}) &= [E^{-1}(\mathbf{n})]'_{\perp} + 2s_F^{(1)}(\mathbf{na})^2(\mathbf{nb})^2 + \frac{1}{2}s_F^{(2)}[(\mathbf{na})^2 - (\mathbf{nb})^2]^2, \end{aligned} \quad (44)$$

$$\begin{aligned} \frac{1}{4G_{t1}(\mathbf{m}, \mathbf{n})} &= \left[ \frac{1}{4G(\mathbf{m}, \mathbf{n})} \right]'_{\perp} + \frac{1}{2}s_F^{(1)}[(\mathbf{ma})(\mathbf{nb}) + (\mathbf{mb})(\mathbf{na})]^2 \\ &\quad + \frac{1}{2}s_F^{(2)}[(\mathbf{ma})(\mathbf{na}) - (\mathbf{mb})(\mathbf{nb})]^2, \end{aligned}$$

where

$$\begin{aligned} \left[ -\frac{\nu(\mathbf{m}, \mathbf{n})}{E(\mathbf{n})} \right]'_{\perp} &= (s_1 - 2s_G)(\mathbf{mc})^2(\mathbf{nc})^2 + \frac{1}{2}s_2[1 - (\mathbf{mc})^2][1 - (\mathbf{nc})^2] \\ &\quad + \frac{1}{\sqrt{2}}s_s\{(\mathbf{mc})^2[1 - (\mathbf{nc})^2] + (\mathbf{nc})^2[1 - (\mathbf{mc})^2]\}, \\ [E^{-1}(\mathbf{n})]'_{\perp} &= s_1(\mathbf{nc})^4 + \frac{1}{2}s_2[1 - (\mathbf{nc})^2]^2 + (\sqrt{2}s_s + 2s_G)(\mathbf{nc})^2[1 - (\mathbf{nc})^2], \\ \left[ \frac{1}{4G(\mathbf{m}, \mathbf{n})} \right]'_{\perp} &= \left( s_1 + \frac{1}{2}s_2 - \sqrt{2}s_s - s_G \right)(\mathbf{mc})^2(\mathbf{nc})^2 \\ &\quad + \frac{1}{2}s_G\{(\mathbf{mc})^2[1 - (\mathbf{nc})^2] + (\mathbf{nc})^2[1 - (\mathbf{mc})^2]\}. \end{aligned}$$

## B. Classes 4, $\bar{4}$ , 422 and 4/m

In the case of tetragonal less symmetric media (we shall label them by t2) to tensors  $\mathbf{E}_\alpha$  ( $\alpha = 1, \dots, 4$ ),  $\mathbf{F}_1$ ,  $\mathbf{F}_2$ , and  $\mathbf{G}$ , one should add two tensors  $\mathbf{F}_3$  and  $\mathbf{F}_4$

$$\mathbf{F}_3 = \frac{1}{2}(\mathbf{a} \otimes \mathbf{b} + \mathbf{b} \otimes \mathbf{a}) \otimes (\mathbf{a} \otimes \mathbf{a} - \mathbf{b} \otimes \mathbf{b}), \quad \mathbf{F}_4 = \frac{1}{2}(\mathbf{a} \otimes \mathbf{a} - \mathbf{b} \otimes \mathbf{b}) \otimes (\mathbf{a} \otimes \mathbf{b} + \mathbf{b} \otimes \mathbf{a}). \quad (45)$$

Together with tensors  $\mathbf{F}_1, \mathbf{F}_2$  (39), they form a subalgebra which is isomorphic to that of  $\mathbf{E}_\alpha$  ( $\alpha = 1, \dots, 4$ ) by having the multiplication table I with the kernel letter  $E$  replaced by  $F$ . The  $\mathbf{0}$  tensor is obtained when either of  $\mathbf{F}_3$  and  $\mathbf{F}_4$  multiplies (from the left or the right)  $\mathbf{G}$  or any one of  $\mathbf{E}$ .

As previously, the tensors  $\mathbf{C}$  and  $\mathbf{S}$  can be constructed as the linear combination

$$\begin{aligned}\mathbf{C} &= c_1 \mathbf{E}_1 + c_2 \mathbf{E}_2 + c_s \mathbf{E}_s + c_F^{(1)} \mathbf{F}_1 + c_F^{(2)} \mathbf{F}_2 + c_F^{(s)} \mathbf{F}_s + c_G \mathbf{G}, \\ \mathbf{S} &= s_E^{(1)} \mathbf{E}_1 + s_E^{(2)} \mathbf{E}_2 + s_E^{(s)} \mathbf{E}_s + s_F^{(1)} \mathbf{F}_1 + s_F^{(2)} \mathbf{F}_2 + s_F^{(s)} \mathbf{F}_s + s_G \mathbf{G},\end{aligned}\quad (46)$$

where  $\mathbf{F}_s = (\mathbf{F}_3 + \mathbf{F}_4)$ , and

$$c_F^{(s)} = c_F^{(3)} = c_F^{(4)} = 2C_{16}, \quad s_F^{(s)} = s_F^{(3)} = s_F^{(4)} = S_{16}, \quad (47)$$

$c_E^{(\alpha)}$  and  $s_E^{(\alpha)}$  ( $\alpha = 1, 2, s$ ) are given respectively by Eqs. (31) and (32)

In a similar manner to that explained previously, the scalar expressions

$$s_{F,t2}^{(1)} = \frac{c_F^{(2)}}{c_F^{(1)} c_F^{(2)} - [c_F^{(s)}]^2}, \quad s_{F,t2}^{(2)} = \frac{c_F^{(1)}}{c_F^{(1)} c_F^{(2)} - [c_F^{(s)}]^2}, \quad s_{F,t2}^{(s)} = -\frac{c_F^{(s)}}{c_F^{(1)} c_F^{(2)} - [c_F^{(s)}]^2}, \quad (48)$$

can be obtained. Using the explicit expressions for coefficients of expansions (47), we get

$$S_{66} = \frac{C_{11} - C_{12}}{s_F}, \quad (S_{11} - S_{12}) = \frac{C_{66}}{s_F}, \quad S_{16} = -\frac{C_{16}}{s_F}, \quad (49)$$

where  $s_F = [(C_{11} - C_{12}) C_{66} - 2C_{16}^2]$ . Expressions relating  $c_E^{(\alpha)}$  and  $s_E^{(\alpha)}$ , ( $\alpha = 1, 2, s$ ) as well as  $c_G$ , and  $s_G$ , are the same as for t1 media.

Young's, shear, and the Poisson's coefficients for tetragonal media of lower symmetry are equal

$$\begin{aligned}\left[-\frac{\nu(\mathbf{m}, \mathbf{n})}{E(\mathbf{n})}\right]_{t2} &= \left[-\frac{\nu(\mathbf{m}, \mathbf{n})}{E(\mathbf{n})}\right]_{t1}' + s_F^{(s)} \left\{ (\mathbf{ma})(\mathbf{mb}) [(\mathbf{na})^2 - (\mathbf{nb})^2] \right. \\ &\quad \left. + (\mathbf{na})(\mathbf{nb}) [(\mathbf{ma})^2 - (\mathbf{mb})^2] \right\}, \\ E_{t2}^{-1}(\mathbf{n}) &= [E^{-1}(\mathbf{n})]_{t1}' + 2s_F^{(s)} (\mathbf{na})(\mathbf{nb})^2 [(\mathbf{na})^2 - (\mathbf{nb})^2], \\ \frac{1}{4G_{t2}(\mathbf{m}, \mathbf{n})} &= \left[\frac{1}{4G_{t1}(\mathbf{m}, \mathbf{n})}\right]_{t1}' + s_F^{(s)} [(\mathbf{ma})(\mathbf{nb}) + (\mathbf{mb})(\mathbf{na})] \\ &\quad \times [(\mathbf{ma})(\mathbf{na}) - (\mathbf{mb})(\mathbf{nb})],\end{aligned}\quad (50)$$

where  $[-\frac{\nu(\mathbf{m}, \mathbf{n})}{E(\mathbf{n})}]_{t1}'$ ,  $[E^{-1}(\mathbf{n})]_{t1}'$ , and  $[\frac{1}{4G(\mathbf{m}, \mathbf{n})}]_{t1}'$  can be obtained from  $[-\frac{\nu_{t1}(\mathbf{m}, \mathbf{n})}{E_{t1}(\mathbf{n})}]$ ,  $E_{t1}^{-1}(\mathbf{n})$ , and  $\frac{1}{4G_{t1}(\mathbf{m}, \mathbf{n})}$  as a result of the changes of coefficients  $s_F^{(\alpha)}$  to  $s_{F,t2}^{(\alpha)}$  ( $\alpha = 1, 2$ ).

## VII. CONCLUSIONS

We demonstrated the effectiveness of application of tensorial bases of  $[[V^2]^2]$  for derivation the explicit expressions for Young's and shear moduli and for Poisson's ratio. These formulae are well suited for studying the anisotropy properties of  $Y$ ,  $G$ , and  $\nu$  [27]. We considered materials of high and middle crystalline symmetry. Work on derivation of the suitable expressions for low symmetry materials and 2D systems is currently in progress.

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